

Lump Sum Investment Form

If you would like help completing this form, please email info@generatewealth.co.nz or phone us on 0800 855 322.

Use this form to make a lump sum Investment to your Generate Managed Fund account.

Investor Details

Investor/Entity name

Date of Birth
if an individual

IRD No.
If you don't know your IRD number, please call the IRD on 0800 227 774
or visit www.ird.govt.nz/tasks/find-my-ird-number

Residential address

City Country Postcode

Mobile Email

Generate Managed Fund Investor Number

Lump Sum Investment

I intend to deposit I have deposited \$ _____ into Generate's Managed Fund bank account.

Please invest this deposit either:

as per my current investment election

as per the following:

Focused Growth %

Balanced %

Conservative %

Australasian %

Thematic %

Total (must add to 100%) 100%

AML / CFT Requirements

We are required to collect additional information relating to the source and/or wealth of funds under the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 before we can accept your deposit.

What is your **current occupation**? If you do not work, please state your position, e.g. retired, student, unemployed

What annual income bracket applies to you?

- Under \$30,000 \$30,000 – \$40,000 \$40,000 – \$50,000 \$50,000 – \$60,000
 \$60,000 – \$70,000 \$70,000 – \$80,000 \$80,000 – \$90,000 \$90,000 or more

Lump Sum Contribution Form

Other Requirements (continued)

Please indicate below how you acquired the money you are investing and attach evidence of the source of funds. Please also attach appropriate documentation as evidence of this. See page 3 for further examples of suitable verification of the source of funds

- | | | |
|---|--|--|
| <input type="checkbox"/> Compensation payment | <input type="checkbox"/> Divorce settlement | <input type="checkbox"/> Gift |
| <input type="checkbox"/> Inheritance | <input type="checkbox"/> Lottery/betting win | <input type="checkbox"/> Money from existing Trust |
| <input type="checkbox"/> Policy claim/maturity | <input type="checkbox"/> Salary/bonus | <input type="checkbox"/> Sale of company |
| <input type="checkbox"/> Sale of investments | <input type="checkbox"/> Sale of property | <input type="checkbox"/> Savings |
| <input type="checkbox"/> Other (please provide details below) | | |
-
-
-
-

Acknowledgement

I understand that this voluntary contribution to my managed fund account balance will be:

- invested in the investment option(s) I have selected (if applicable); and
- the contributions will be allocated the following business day after funds have been received.

Signature

Physical signature or electronic signature with a valid audit trail. Please contact us directly to arrange this if required.

Date:

Checklist

I have:

- Completed all sections of the form
- I will provide supporting documents to evidence source of funds / wealth. For Verification of Source of Funds please refer to page 3.

Where to send this form

Email return: Please scan this completed form and all supporting documentation and email it to us at info@generatekiwisaver.co.nz or

Postal return: Please send this completed form and all supporting documentation to:
Generate Investment Management Limited, PO Box 91609, Victoria Street West, Auckland 1142

Should you have any questions or require any help in completing this form, please call us on 0800 855 322.

The information in this form is being collected for the purposes of effectively managing your investment and it will be held by Generate Investment Management Limited. It may be disclosed to third parties to the extent that it is necessary to administer your application and any withdrawals from the Scheme.

You can ask to see the personal information that Generate holds about you by calling us on 0800 855 322.

Lump Sum Investment Form


Verification of Source of Funds

The following table shows examples of the documents that we may be able to accept as evidence of the source of your funds.

Source of funds	Verification/Evidence (not exhaustive)
Employment (salaries or wages)	Pay slip (or bonus) from the last 3 months Signed Employment contract Bank statement details IRD personal tax statement
Inheritance	Probate Will Solicitor's statement relating to funds, or letter of undertaking Bank statement showing amount deposited*
Insurance Payout	Letter from insurer/other party confirming the payout – clearly showing the organisation logo Bank statement showing amount deposited*
Investment maturity	Investment maturity confirmation – clearly showing the organisation logo Investment maturity confirmation should show the date matured and amount matured Bank statement showing amount deposited*
Sale of property	Sale and Purchase Agreement Solicitor's statement relating to funds, or letter of undertaking Bank statement showing amount deposited*
Sale of company	Sale and Purchase Agreement Latest audited business accounts Letter from solicitor or accountant Bank statement showing amount deposited*
Savings	Bank statement showing amount saved* Bank statements from the last 3 months

*All bank statements must clearly showing the name of the account and bank logo

Example template for Bank statement



Statement of Account

Mr John Smith
123 Main Street
Sometown
AUCKLAND 1234
New Zealand

Account No: 22-1234-0123456-00
Statement Date: 01/11/2023
Statement No: 712
Page: 1 of 2

Transaction Summary

Date	Transaction Detail	Withdrawals	Deposits	Balance
Opening Balance				\$1,582.76
10 September	XYZ Supermarket	\$369.34		\$1,213.42
11 September	Super Petrol Ltd	\$125.00		\$1,088.42
14 September	Power Co	\$135.22		\$953.20
17 September	Active Lawyers		\$10,000.00	\$10,953.20
22 September	Sorting Goods NZ	\$95.99		\$10,857.21
24 September	Pretty Florists	\$58.25		\$10,798.96
Closing Balance				\$10,798.96