

Changing your Investment Strategy Form

If you would like help completing this form, please email info@generatewealth.co.nz or phone us on 0800 855 322.

Please use this form if you are an existing Generate Managed Fund investor and wish to change your investment strategy. If you are unsure about choosing an investment strategy, you may wish to seek advice from a Generate adviser.  
The value of the investment will fluctuate based on the unit price(s) which applies when the switch is processed.

Investor Details

Investor/Entity name

Date of Birth

D

D

M

M

Y

Y

Y

Y

If an individual

IRD No.

If you don't know your IRD number, please call the IRD on 0800 227 774 or visit [ird.govt.nz/tasks/find-my-ird-number](https://ird.govt.nz/tasks/find-my-ird-number)

Residential address

City

Country

Postcode

Mobile

Email

Generate Managed Fund Investor Number

G

M

F

Changing your Investment Options

I wish to make the following changes to my Managed Fund account:

- ☐ Existing balances only (complete **section 1**);
- ☐ Future investments only (complete **section 2**);
- ☐ Both existing balances and future investments (complete **both sections**).

You can invest in a combination of the following funds, please select either the \$ or % amount you want allocated below:

Section 1 – Update my existing balance:

	Amount out	Amount in	OR	% to be invested in each fund
<input type="checkbox"/> CashPlus	\$	\$		%
<input type="checkbox"/> Conservative	\$	\$		%
<input type="checkbox"/> Fixed Interest	\$	\$		%
<input type="checkbox"/> Balanced	\$	\$		%
<input type="checkbox"/> Focused Growth	\$	\$		%
<input type="checkbox"/> Australasian	\$	\$		%
<input type="checkbox"/> Thematic	\$	\$		%
<input type="checkbox"/> Global	\$	\$		%
Total	\$	\$		100 %
	(total in and total out must be same)			(must add to 100%)

Section 2 – Update my future investment strategy:

	% to be invested in each fund
<input type="checkbox"/> CashPlus	%
<input type="checkbox"/> Conservative	%
<input type="checkbox"/> Fixed Interest	%
<input type="checkbox"/> Balanced	%
<input type="checkbox"/> Focused Growth	%
<input type="checkbox"/> Australasian	%
<input type="checkbox"/> Thematic	%
<input type="checkbox"/> Global	%
Total (must add to 100%)	100 %

Where to send this form

Email return: Please scan this completed form and email it to us at [info@generatewealth.co.nz](mailto:info@generatewealth.co.nz) or  
Postal return: Please send this completed form to: Generate Investment Management Limited, PO Box 91609, Victoria Street West, Auckland 1142

## Changing your Investment Strategy Form

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### Authorisation

- I understand that any change requested will be implemented as soon as practicable after receipt of this completed form.
- I understand that choosing an investment strategy is solely my responsibility, and neither Generate nor the Supervisor of the Managed Fund is to be regarded as representing or implying that any particular investment strategy is appropriate for my personal circumstances. I acknowledge that I have made this request of my own volition.
- I acknowledge that my choice of an investment strategy is a binding direction from me to the Supervisor of the Managed Fund for Trusts Act 2019 purposes, and that neither the Supervisor nor the Manager of the Managed Fund will be liable to me for any loss as a consequence of this investment direction.
- I acknowledge that none of Generate, the Supervisor, the Crown or any other person guarantees the performance of the investment fund or funds selected.

### Declaration **All signatories on the account need to sign the switch request.** (Electronic signatures will not be accepted).

I have read the current Unit Trust Scheme (Managed Funds) Product Disclosure Statement.

- I understand my rights, benefits and obligations as a managed fund investor that the managed fund is a vehicle for long-term investment and that the value of my investment is liable to fluctuations and may rise and fall from time to time.

**Full name of investor 1:** \_\_\_\_\_

**Signature:** \_\_\_\_\_

Date: 

D	D	M	M	Y	Y	Y	Y
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**Full name of investor 3:** \_\_\_\_\_

**Signature:** \_\_\_\_\_

Date: 

D	D	M	M	Y	Y	Y	Y
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\* For an investor aged below 16, this form must be signed not by the investor but by a legal guardian of the investor. Investors aged 16 or over may exercise investor-related discretions themselves.

**Full name of investor 2:** \_\_\_\_\_

**Signature:** \_\_\_\_\_

Date: 

D	D	M	M	Y	Y	Y	Y
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**Full name of investor 4:** \_\_\_\_\_

**Signature:** \_\_\_\_\_

Date: 

D	D	M	M	Y	Y	Y	Y
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