

## Lump Sum Investment Form

If you would like help completing this form, please email [info@generatewealth.co.nz](mailto:info@generatewealth.co.nz) or phone us on 0800 855 322.

Use this form to make a lump sum Investment to your Generate Managed Fund account.

### Investor Details

Investor/Entity name \_\_\_\_\_

Date of Birth   
if an individual

IRD No.   
If you don't know your IRD number, please call the IRD on 0800 227 774  
or visit [www.ird.govt.nz/tasks/find-my-ird-number](http://www.ird.govt.nz/tasks/find-my-ird-number)

Residential address \_\_\_\_\_

City \_\_\_\_\_ Country \_\_\_\_\_ Postcode \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_

Mobile \_\_\_\_\_ Email \_\_\_\_\_

Generate Managed Fund Investor Number

### Lump Sum Investment

I intend to deposit  I have deposited \$ \_\_\_\_\_ into Generate's Managed Fund bank account.

Please invest this deposit either:

as per my current investment election

as per the following:

Focused Growth \_\_\_\_\_ %

Balanced \_\_\_\_\_ %

Conservative \_\_\_\_\_ %

\_\_\_\_\_  
Total (must add to 100%) 100%

### AML / CFT Requirements

We are required to collect additional information relating to the source and/or wealth of funds under the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 before we can accept your deposit.

What is your current occupation? If you do not work, please state your position, e.g. retired, student, unemployed \_\_\_\_\_

What annual income bracket applies to you?.

Under \$30,000

\$30,000 – \$40,000

\$40,000 – \$50,000

\$50,000 – \$60,000

\$60,000 – \$70,000

\$70,000 – \$80,000

\$80,000 – \$90,000

\$90,000 or more

## Lump Sum Contribution Form

### Other Requirements (continued)

Please indicate below how you acquired the money you are investing and attach evidence of the source of funds.

Please also attach appropriate documentation as evidence of this e.g. bank statements, Sales and purchase agreement, Term deposit maturity letters, etc.

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Compensation payment                 | <input type="checkbox"/> Money from existing Trust | <input type="checkbox"/> Savings               |
| <input type="checkbox"/> Inheritance                          | <input type="checkbox"/> Divorce settlement        | <input type="checkbox"/> Gift                  |
| <input type="checkbox"/> Salary/bonus                         | <input type="checkbox"/> Lottery/betting win       | <input type="checkbox"/> Policy claim/maturity |
| <input type="checkbox"/> Sale of company                      | <input type="checkbox"/> Sale of investments       | <input type="checkbox"/> Sale of property      |
| <input type="checkbox"/> Other (please provide details below) |  |  |

### Acknowledgement

I understand that this voluntary contribution to my managed fund account balance will be:

- invested in the investment option(s) I have selected (if applicable); and
- the contributions will be allocated as soon as practicable after receiving this completed form and required supporting evidence.

### Signature

Electronic signatures are only acceptable accompanied with a verified audit trail

Date:

### Checklist

I have:

- Completed all sections of the form
- Provided supporting documents to evidence source of funds / wealth

### Where to send this form

**Email return:** Please scan this completed form and all supporting documentation and email it to us at [info@generatekiwisaver.co.nz](mailto:info@generatekiwisaver.co.nz) or

**Postal return:** Please send this completed form and all supporting documentation to:  
Generate Investment Management Limited, PO Box 91609, Victoria Street West, Auckland 1142

**Should you have any questions or require any help in completing this form, please call us on 0800 855 322.**

The information in this form is being collected for the purposes of effectively managing your investment and it will be held by Generate Investment Management Limited. It may be disclosed to third parties to the extent that it is necessary to administer your application and any withdrawals from the Scheme.

You can ask to see the personal information that Generate holds about you by calling us on 0800 855 322.