

Trans-Tasman Transfer Form

Complying Australian Superannuation Fund Details

Member Number _____ Australian Business Number (ABN) _____

Australian Provider Name _____

Postal Address _____

_____ Postcode _____

Phone _____ Email _____

Trans-Tasman Permanent Emigration Transfer Instructions

I have permanently emigrated to Australia and request my KiwiSaver Scheme balance to be transferred to my account in the Australian Superannuation fund named above.

Date you left New Zealand _____

Payment Details

This needs to be filled out in full.

Name of Bank Account _____

Sort Code / BSB _____ Swift Code _____

Account Number

Bank _____ Branch _____

Address/PO Box _____

Town/City _____

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Electronic Verification of Identity and Proof of Address (Required for all Signatories)

If you agree to Electronic Identity Verification please tick the box below. If we are unable to identify you using this method or you do not consent, you will need to provide certified ID and address documents as per the Non-Electronic Verification of Identity and Proof of Address section below.

Electronic Identity Verification and Proof of Address

Generate can confirm the identity and/or New Zealand address of many of our clients electronically, with their permission. Please note that we use an external third party system not owned by Generate to conduct identity checks in this way.

I confirm that I give Generate authority to check my identity and/or address electronically using the documentation provided.

I have included a copy of my identification – either a current signed Passport or current Driver Licence (front & back) from New Zealand or Australia. Please note, if we are unable to identify you using this method, we will contact you to provide physical documents.

Non-Electronic Verification Identity and Proof of Address

If you have opted not to use Electronic Identity Verification, you will need to provide the following documentation to complete your application.

CERTIFIED COPY OF IDENTIFICATION

OPTION 1

- Passport; or
- New Zealand Driver Licence ; or
- New Zealand Firearms Licence

OPTION 2

- Birth Certificate; or
- Citizenship Certificate

AND one of the following:

- Kiwi Access Card (18+); or
- Tertiary Student Photo ID; or
- Current International Driving Permit and a driver licence from another country

CERTIFICATION OF YOUR DOCUMENTS

Provide certified copies of identity documents.

- Certification must be within the last three months.
- Any birth certificates that have been issued before 2003 should be certified or verified.
- The approved person cannot be your spouse, partner, relative or living at the same address as you.
- Upon comparing the copy with the original document, the approved person must write on the copy their name, occupation, their signature, the date and the following, **"I certify this to be a true copy of the original document and confirm that it represents the identity of [full name of person being identified]"**

PROOF OF ADDRESS

Choose one of the acceptable forms of **proof of address** by sending us a copy of an invoice, statement, letter or contract which shows: The applicant's name, is dated within the last 12 months, shows the full residential address (not a PO Box) and displays the Company logo.

- Utility provider e.g. water, electricity, gas, telecommunications (or other fixed address media provider)
- Government or local Government agency e.g. IRD, benefits statement, Council notice
- Australian Bank correspondence
- Car registration notification/demand
- Insurance company (car, house, contents)
- Rental tenancy agreement
- Insurance company (health, life) (dated within the last 3 months)

Privacy Statement

Generate Investment Management Limited (or Generate group companies), Public Trust, any of their authorised agents, and any distributor (each an "Authorised Person") may collect and hold the personal information that you provide to us as part of this application.

Your information will be used by Generate and the Supervisor to manage your relationship with Generate and the Supervisor, to provide products and services to you, to comply with any applicable laws, to offer you further products and services that may be of interest to you and for any other use for which you have given authorisation. We may also disclose your personal information for these purposes to our staff members, related companies, our third party service providers and to the Financial Markets Authority or other applicable regulators. Generate may further use your information to electronically verify your identity. We may pass your information to and check it with the document issuer, official record holder and authorised third parties that Generate has contracted to carry out the verification process. Generate may share your information and the results of the verification process with appropriate third parties (such as a distributor or adviser that will or has been providing services to you) to enable that third party to comply with any applicable laws.

You may request a copy of the information held about you, and if any of the information is incorrect, ask for it to be corrected. You can do this by contacting us by email or call us on 0800 855 322.

For further information about how we handle your personal information, please read our Privacy Statement available at generatewealth.co.nz/privacy-statement/.

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Statutory Declaration

A statutory declaration made in Australia under the Statutory Declarations Act 1959 must be made before a Judge, a Commissioner of Oaths, a Notary Public, a Justice of the Peace, or any person authorised by the law of that country to administer an oath there for the purpose of a judicial proceeding, or before a Commonwealth representative, or before a solicitor of the High Court of New Zealand.

A statutory declaration made in New Zealand must be made before a person entitled to witness a statutory declaration under the Oaths and Declarations Act 1957 Such as a Justice of the Peace, Solicitor, Notary Public, or a person authorised to take statutory declaration such as a Registrar or Deputy Registrar of the High Court or any District Court or a member of Parliament.

Note: What you write must be true. You can be prosecuted if you make a false declaration.

Ensure you include your occupation. If you, the member, are either retired or unemployed please note this in the occupation box. Do not leave this field blank.

I (full legal name as seen on ID)

of (full residential address)

and (occupation (or unemployed/retired))

solemnly and sincerely declare that:

- I have permanently emigrated from New Zealand to Australia and have no intention of returning to live in New Zealand permanently. I confirm that during my membership with KiwiSaver, my primary residence was in New Zealand except for:

Date I left New Zealand (this is required) month / year to current.

(If applicable) in the time of my KiwiSaver membership I have also lived outside of New Zealand during these additional period(s):

From (insert dates) month / year to month / year From (insert dates) month / year to month / year

From (insert dates) month / year to month / year From (insert dates) month / year to month / year

- I have read and understood the Privacy Statement.
- I understand that any information I give to Generate may be passed on to my chosen Australian Superannuation fund as reasonably required and I authorise Generate to give such information in relation to this transfer as requested by my chosen Australian Superannuation fund.
- I acknowledge that there may be tax consequences when transferring my KiwiSaver savings to an Australian Superannuation fund, and that I am liable for any such tax consequences.
- I acknowledge that Generate has recommended that I seek independent and professional Australian and New Zealand tax advice pertaining to my circumstances in relation to the proposed transfer.
- I understand that any annual Government contribution entitlement I have received during my membership period whilst residing outside of New Zealand will be deducted from my withdrawal amount and returned to the Commissioner of Inland Revenue.
- I understand that my withdrawal value will be based upon the unit price(s) at the date my request is processed and can be affected by market volatility, PIE tax and eligibility to receive government contributions.
- I understand that my Generate KiwiSaver Scheme account will be closed upon my savings being transferred to my chosen Australian superannuation fund.
- I understand that following a transfer of my Generate KiwiSaver Scheme savings to an Australian superannuation fund I will not be able to transfer them to a third country.
- I understand that my application is subject to the approval of Generate and that Generate may request additional information in support of this application.
- I understand that my application will be unable to be processed if my chosen Australian Superannuation fund named in section 2 of this application does not accept the transferred funds.
- I acknowledge that on the receipt of my funds by the Australian Superannuation fund, Generate and the Trustee will be released from all liabilities in respect of my membership in the Generate KiwiSaver Scheme.
- I understand that the "New Zealand sourced" savings in my Australian Superannuation fund will not be able to be accessed until the age of eligibility of New Zealand Superannuation is reached (currently 65).
- I understand that once my Generate KiwiSaver Scheme savings have been transferred to Australia, they will become (with a few exceptions) subject to the rules and regulations governing the Australian Superannuation fund.

And I make this solemn declaration conscientiously believing the same to be true and by the virtue of the Oaths and Declarations Act 1957.

Note: Do not complete the following section until you are with the person witnessing your declaration.

Signature (of member)

Declared at (place)

On (date)

Before me (person before whom the declaration is made)

Full Name

Occupation

Signature (of person authorised to take declaration)